

U.S. DEPT OF COMMERCE, NOAA
 NMFS PERMITS BRANCH, F/SER1
 263 13th Avenue South
 St. Petersburg, FL 33701
 727/824-5326 (8:00 am - 4:30 pm ET)
<http://sero.nmfs.noaa.gov>



FEDERAL PERMIT APPLICATION FOR VESSELS FISHING IN THE EXCLUSIVE ECONOMIC ZONE (EEZ)

Application ID

FOR OFFICE USE ONLY**FOR OFFICE USE ONLY**

Reviewer's Initials and Date

Check or Money Order Number

Violation Date

Violation Clear Date

Non Compliance Hold Date

Non Compliance Cleared Date

Expiration Date(s)

A COPY of your current (not expired) USCG Certificate of Documentation or, if the vessel is not documented, your state vessel registration must be on file or accompany this application. Do not send your original.

1. VESSEL INFORMATION

OFFICIAL NUMBER FROM USCG CERTIFICATE OF DOCUMENTATION (if applicable)

YEAR BUILT

LENGTH (FEET)

TOTAL HORSEPOWER

STATE REGISTRATION NUMBER (if applicable)

VESSEL NAME

HULL IDENTIFICATION or IMO NUMBER

HAILING PORT CITY

HAILING PORT COUNTY

HAILING PORT STATE

PASSENGER CAPACITY DATA FOR CHARTER/ HEADBOAT VESSELS

☐ UNINSPECTED VESSEL -
"6-PACK"

☐ USCG INSPECTED VESSEL (Specify passenger
Capacity as listed on the USCG Certificate of
Inspection)

USCG DOCUMENTED VESSELS ONLY

GROSS TONS

NET TONS

HOLD CAPACITY
(Pounds of Harvest)

HULL MATERIAL

☐ FIBERGLASS☐ STEEL☐ WOOD☐ CEMENT☐ OTHER

FUEL TYPE

☐ DIESEL☐ GASOLINE☐ OTHERTOTAL FUEL
CAPACITY (GALLONS)
PRODUCT STORAGE
(check all that apply)☐ ICE☐ FREEZER☐ LIVE WELL☐ OTHER

This vessel is best described as:

☐ Commercial Fishing ☐ Charter ☐ Headboat

2. PERMITS

INSTRUCTIONS: Indicate which permit(s) and transaction(s) you are applying for. Find the fishery in the left column and mark the check box beside that fishery to indicate what transaction you want.

OPEN ACCESS COMMERCIAL PERMITS

FISHERY	NEW	RENEWAL	DUPLICATE
COMMERCIAL ATLANTIC DOLPHIN/ WAHOO (ADW)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SPINY LOBSTER TAILING (LT)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SPINY LOBSTER (LC) (Not required for the EEZ off Florida)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SPANISH MACKEREL (SM)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOUTH ATLANTIC ROCK SHRIMP (RS)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOUTH ATLANTIC PENAEID SHRIMP (SPA)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
GULF OF MEXICO SHRIMP (SPG)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

OPEN ACCESS CHARTER/HEADBOAT PERMITS

FISHERY	NEW	RENEWAL	DUPLICATE
SOUTH ATLANTIC CHARTER/ HEADBOAT FOR SNAPPER-GROUPER (SC)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOUTH ATLANTIC CHARTER/ HEADBOAT FOR COASTAL MIGRATORY PELAGICS (CHS)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ATLANTIC CHARTER/ HEADBOAT FOR DOLPHIN/ WAHOO (CDW)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

LIMITED ACCESS/MORATORIUM CHARTER/ HEADBOAT and COMMERCIAL PERMITS

FISHERY	TRANSFER	RENEWAL	DUPLICATE
GULF OF MEXICO CHARTER/HEADBOAT FOR COASTAL MIGRATORY PELAGIC FISH (CHG)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
GULF OF MEXICO CHARTER/HEADBOAT FOR REEF FISH (RCG)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
HISTORICAL CAPTAIN GULF OF MEXICO CHARTER/HEADBOAT FOR COASTAL MIGRATORY PELAGIC FISH (HCHG)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
HISTORICAL CAPTAIN GULF OF MEXICO CHARTER/HEADBOAT FOR REEF FISH (HRCG)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
KING MACKEREL (KM)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
GILLNET FOR KING MACKEREL (GN)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
GULF OF MEXICO REEF FISH (RR, RRE)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
RED SNAPPER CLASS 1 LICENSE - 2000 POUND (L1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
RED SNAPPER CLASS 2 LICENSE - 200 POUND (L2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOUTH ATLANTIC ROCK SHRIMP LIMITED ENTRY AREA ENDORSEMENT (RSE)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOUTH ATLANTIC UNLIMITED SNAPPER-GROUPER (EXCLUDING WRECKFISH) (SG1, ST1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOUTH ATLANTIC 225 LB TRIP LIMIT SNAPPER-GROUPER (EXCLUDING WRECKFISH) (SG2, ST2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SWORDFISH DIRECTED (SFD)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SWORDFISH HANDGEAR (SFH)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SWORDFISH INCIDENTAL (SFI)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SHARK DIRECTED (SKD)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SHARK INCIDENTAL (SKI)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

3. VESSEL OWNER AND LESSEE INFORMATION

Please copy this page as needed to provide information on all persons or businesses that own or lease the vessel listed in Section 1.

- 1) Please complete this section for each owner of the vessel as shown on the Coast Guard Documentation or, if not documented, on the state registration certificate. If the owner is a business or partnership, enter the Federal ID number and date the business was formed or partnership was filed. If the owner is an individual, enter the Social Security Number.
- 2) Complete the Additional Owner or Lessee section for a second joint owner if the vessel is owned by more than one owner, or if the vessel is leased, for the entity that is leasing the vessel from the vessel owner. If you need more spaces, copy the blank form or provide the required information on a separate sheet of paper.
- 3) Place an "X" in the Mailing Recipient block to indicate who will receive the permit and all related information. Please only mark one box.
- 4) If the vessel is operated under a lease or other written management agreement that bestows control over the destination, function or operation of the vessel to a person other than the vessel owner (as stated on the Coast Guard Documentation or state registration), you must submit information on each lessee. If you need more spaces for additional lessees, copy the blank form or provide the required information on a separate sheet of paper.

Vessel Owner as shown on the USCG Certificate of Documentation,
or for undocumented vessels, the State Registration; and/or Vessel Lessee Information

This entity is a vessel OWNER <input type="checkbox"/> or vessel LESSEE <input type="checkbox"/> (For lessees only) LEASE START DATE: <input type="text"/> LEASE EXPIRATION DATE: <input type="text"/>							
Check one <input type="checkbox"/> INDIVIDUAL or SOLE PROPRIETORSHIP <input type="checkbox"/> JOINT OWNERSHIP <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> CORPORATION <input type="checkbox"/> OTHER <input type="text"/>							
<input type="checkbox"/> Mailing Recipient - Mark this box only if you want this entity to receive all mail concerning this permit.							
Mr/Mrs/Ms	Last Name or Name of Business		First Name		Middle Name		Suffix Name
<input type="text"/>	<input type="text"/>		<input type="text"/>		<input type="text"/>		<input type="text"/>
Mailing Address		Apt/Suite #	City	State	County	Zip Code	Country
<input type="text"/>		<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Physical Address		Apt/Suite #	City	State	County	Zip Code	Country
<input type="checkbox"/> Check box if same as Mailing Address		<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Federal ID # or SSN #	Date of Birth/business filed		Area Code	Phone Number			
<input type="text"/>	<input type="text"/>		<input type="text"/>	<input type="text"/>			
Income Qualifier: If this entity is the income qualifier for any permits applied for in this application, indicate below to which fishery the income applies.							
<input type="checkbox"/> Commercial King Mackerel <input type="checkbox"/> Commercial Spiny Lobster <input type="checkbox"/> Spanish Mackerel <input type="checkbox"/> Reef Fish							

Second Vessel Owner as shown on the USCG Certificate of Documentation or State Registration, or
Vessel Lessee

This entity is a vessel OWNER <input type="checkbox"/> or vessel LESSEE <input type="checkbox"/> (For lessees only) LEASE START DATE: <input type="text"/> LEASE EXPIRATION DATE: <input type="text"/>							
Check one <input type="checkbox"/> INDIVIDUAL or SOLE PROPRIETORSHIP <input type="checkbox"/> JOINT OWNERSHIP <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> CORPORATION <input type="checkbox"/> OTHER <input type="text"/>							
<input type="checkbox"/> Mailing Recipient - Mark this box only if you want this entity to receive all mail concerning this permit.							
Mr/Mrs/Ms	Last Name or Name of Business		First Name		Middle Name		Suffix Name
<input type="text"/>	<input type="text"/>		<input type="text"/>		<input type="text"/>		<input type="text"/>
Mailing Address		Apt/Suite #	City	State	County	Zip Code	Country
<input type="text"/>		<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Physical Address		Apt/Suite #	City	State	County	Zip Code	Country
<input type="checkbox"/> Check box if same as Mailing Address		<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Federal ID # or SSN#	Date of Birth/business filed		Area Code	Phone Number			
<input type="text"/>	<input type="text"/>		<input type="text"/>	<input type="text"/>			
Income Qualifier: If this entity is the income qualifier for any permits applied for in this application, indicate below to which fishery the income applies.							
<input type="checkbox"/> Commercial King Mackerel <input type="checkbox"/> Commercial Spiny Lobster <input type="checkbox"/> Spanish Mackerel <input type="checkbox"/> Reef Fish							

4. OFFICER/SHAREHOLDER INFORMATION FOR ENTITIES THAT OWN OR LEASE THE VESSEL

Please copy this page as needed to provide information on all persons or businesses that own or lease this vessel.

1) Please complete this section for **each** officer or partner associated by partnership, corporation, or other business relationship to a vessel owner or lessee listed in section 3.

Owner or lessee of the vessel: ☐ Owner ☐ Lessee

Business name:

Federal Tax ID #

All individuals associated with the above-named vessel owner or lessee must be included in this application. Photocopy this page or attach additional sheets as necessary to list all officers, directors, shareholders, and registered agents of the business. Provide names, Social Security Numbers, addresses, phone number, date of birth, and position held in business.

Position held

☐ President/CEO ☐ Vice President ☐ Secretary ☐ Treasurer ☐ Director/Manager ☐ Agent ☐ Other

Mr/Mrs/Ms	Last Name or Name of Business	First Name	Middle Name	Suffix Name
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Mailing Address	Apt/Suite #	City	State	County	Zip Code	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Physical Address	Apt/Suite #	City	State	County	Zip Code	Country
<input type="checkbox"/> Check box if same as Mailing Address <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

SSN #	Date of Birth	Area Code	Phone Number
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Income Qualifier: If this entity is the income qualifier for any permits applied for in this application, indicate below to which fishery the income applies.

☐ Commercial King Mackerel ☐ Commercial Spiny Lobster ☐ Spanish Mackerel ☐ Reef Fish

Position held

☐ President/CEO ☐ Vice President ☐ Secretary ☐ Treasurer ☐ Director/Manager ☐ Agent ☐ Other

Mr/Mrs/Ms	Last Name or Name of Business	First Name	Middle Name	Suffix Name
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Mailing Address	Apt/Suite #	City	State	County	Zip Code	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Physical Address	Apt/Suite #	City	State	County	Zip Code	Country
<input type="checkbox"/> Check box if same as Mailing Address <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

SSN #	Date of Birth	Area Code	Phone Number
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Income Qualifier: If this entity is the income qualifier for any permits applied for in this application, indicate below to which fishery the income applies.

☐ Commercial King Mackerel ☐ Commercial Spiny Lobster ☐ Spanish Mackerel ☐ Reef Fish

5. HISTORICAL CAPTAIN, RED SNAPPER CLASS 1 LICENSE QUALIFIER, AND/OR OTHER INCOME QUALIFIERS

Please copy this page as needed to provide information on persons who are Historical Captains; Red Snapper Class 1 License Qualifiers; and Income Qualifiers/Operators who are not also a vessel owner or lessee, or related through business association to the vessel owner or lessee, as listed in Section 3 or Section 4 of this application.

Please complete this section of the application only if you are applying for:

- * A Gulf of Mexico Charter/Headboat permit with a Historical Captain provision,
- * A Red Snapper Class 1 License that you have leased from a Red Snapper Class 1 License qualifier, or
- * A King Mackerel, Spanish Mackerel, Reef Fish, or Commercial Spiny Lobster permit for which you have met the income qualification requirement by using the fishing income of an operator who is neither a vessel owner or lessee as listed in Section 3 of this application, nor is the operator an officer or a shareholder of a business that owns or leases the vessel, as listed in Section 4 of this application.

For all other applications, this page should be blank.

This entity is a (check all that apply):

- ☐ Historical Captain for Gulf of Mexico Charter/Headboat for Reef Fish
- ☐ Historical Captain for Gulf of Mexico Charter/headboat for Coastal Migratory Pelagic Fish
- ☐ Red Snapper Class 1 License Qualifier
- ☐ Income Qualifier for: (check all that apply) ☐ Commercial King Mackerel ☐ Commercial Spiny Lobster ☐ Spanish Mackerel ☐ Reef Fish

Mr/Mrs/Ms	Last Name or Name of Business	First Name	Middle Name	Suffix Name
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Mailing Address	Apt/Suite #	City	State	County	Zip Code	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Physical Address	Apt/Suite #	City	State	County	Zip Code	Country
<input type="checkbox"/> Check box if same as Mailing Address <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

SSN #	Date of Birth	Area Code	Phone Number
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

This entity is a (check all that apply):

- ☐ Historical Captain for Gulf of Mexico Charter/Headboat for Reef Fish
- ☐ Historical Captain for Gulf of Mexico Charter/Headboat for Coastal Migratory Pelagic Fish
- ☐ Red Snapper Class 1 License Qualifier
- ☐ Income Qualifier for: (check all that apply) ☐ Commercial King Mackerel ☐ Commercial Spiny Lobster ☐ Spanish Mackerel ☐ Reef Fish

Mr/Mrs/Ms	Last Name or Name of Business	First Name	Middle Name	Suffix Name
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Mailing Address	Apt/Suite #	City	State	County	Zip Code	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Physical Address	Apt/Suite #	City	State	County	Zip Code	Country
<input type="checkbox"/> Check box if same as Mailing Address <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

SSN #	Date of Birth	Area Code	Phone Number
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

6. REEF FISH TRAPS AND SNAPPER-GROUPER POTS

COMPLETE THIS SECTION ONLY IF YOU FISH WITH TRAPS OR POTS IN EITHER THE COMMERCIAL GULF OF MEXICO REEF FISH OR COMMERCIAL SOUTH ATLANTIC SNAPPER-GROUPER FISHERIES

Tag cost is \$1.30 per tag made payable by check or money order payable to Floy Tag, Inc.

If you have an existing buoy color code for ANY trap or pot fishery, list it here

Color Code

If you do not have an existing buoy color code for ANY trap or pot fishery, request your choice here (white is not an available color option).

Color Code

Trap/Pot Information

Gulf of Mexico (GOM)

Number of Traps

Size: L x W x H (in inches)

Mesh size (in inches)

South Atlantic (SA)

Number of Traps

Size: L x W x H (in inches)

Mesh size (in inches)



Payment Reminder:

All applications must include payment of a non-refundable application fee in the form of a check or money order made payable to the US Treasury. The fee required is \$25.00 for the first fishery and \$10.00 for each additional fishery requested with this application.

7. SIGNATURE

The undersigned certifies under penalty of perjury that the foregoing information is true and correct (28 U.S.C. section 1746; 18 U.S.C. section 1621; 18 U.S.C. section 1001). Further, the undersigned acknowledges that (1) if a shark permit is received, then shark fishing, catch and gear are subject to the shark regulations cited in 50 CFR 635.5, without regard to where such shark fishing occurs or where such shark and/or gear are possessed, taken or landed; and (2) if a spiny lobster tailing permit is requested, the applicant routinely fishes commercially in Federal waters on trips of up to 48 hours or more and that such fishing activity requires the separation of the tail and carapace to maintain quality product.

Please note: If the vessel listed in Section 1 is leased, the applicant who signs below must be an individual named as a lessee in Section 3, or an officer or shareholder of the lessee as listed in Section 4. If the vessel listed in Section 1 is not leased, the applicant must be an individual named as an owner in Section 3, or an officer or shareholder of the owner as listed in Section 4.

Applicant Signature

Position in Company

Date

Print Name

Operator Signature
if required

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to Jason Rueter, National Marine Fisheries Service, F/SER22, 263 13th Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Name and address information will be released via a NOAA website. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

INCOME QUALIFICATION AFFIDAVIT

The following excerpts from the US Code of Federal Regulations describe income qualification requirements for Gulf of Mexico reef fish, king mackerel, Spanish mackerel, and commercial spiny lobster permits. Additional regulations may apply.

King Mackerel

50CFR622.4(a)(iii) To obtain or renew a commercial vessel permit for king mackerel, at least 25 percent of the applicant's earned income, or at least \$10,000, must have been derived from commercial fishing (i.e., harvest and first sale of fish) or from charter fishing during one of the 3 calendar years preceding the application.

Spanish Mackerel

50CFR622.4(a)(iv) To obtain or renew a commercial vessel permit for Spanish mackerel, at least 25 percent of the applicant's earned income, or at least \$10,000, must have been derived from commercial fishing (i.e., harvest and first sale of fish) or from charter fishing during one of the 3 calendar years preceding the application.

Gulf Reef Fish

50CFR622.4(a)(v) To obtain or renew a commercial vessel permit for Gulf reef fish, more than 50 percent of the applicant's earned income must have been derived from commercial fishing (i.e., harvest and first sale of fish) or from charter fishing during either of the 2 calendar years preceding the application.

Spiny Lobster

50CFR640.4(A)(2) An applicant must provide the following information: (vi) A sworn statement by the applicant for a vessel permit certifying that at least 10 percent of his or her earned income was derived from commercial fishing, that is, sale of the catch, during the calendar year preceding the application.

The following information applies to my income qualification for the following fisheries:

☐ Commercial Spiny Lobster ☐ Gulf of Mexico Reef Fish ☐ King Mackerel ☐ Spanish Mackerel

I, _____, hereby declare under penalty of perjury that the foregoing information is true and correct. (28 U.S.C. § 1746; 18 U.S.C. § 1621; 18 U.S.C. § 1001). I agree to provide the necessary documentation to prove that I met the earned income requirement when so requested by the National Marine Fisheries Service. Executed on _____.
(date signed)

Printed Name

Signature

Business Name (If Applicable)

Type of Business (If Applicable)

Position in business (If Applicable)



Instructions for the Federal Permit Application for Vessels Fishing in the Exclusive Economic Zone (EEZ)

General Instructions:

In addition to the instructions provided herein, applicants with specific questions are encouraged to contact the Permits Office at (727) 824-5326 between 8:00 a.m. and 4:30 p.m. ET, and to consult the U.S. Code of Federal Regulations whose guidance for application requirements, permit eligibilities, and related information will always prevail. (Incomplete or illegible applications will be returned.)

1. Complete all applicable sections of this application form. Incomplete or illegible applications will be returned. Applications should be typed, or hand printed in ink.
2. The application fee is **\$25 for one fishery and \$10 for each additional fishery** and is **non-refundable**. A check or money order payable to the **U.S. TREASURY** must accompany each application.
3. Mail the application, payment, and all required supporting documentation to: **NMFS (F/SER 1), 263 13th AVENUE SOUTH, ST. PETERSBURG, FL 33701**. If you would like your permit and associated documents returned to you via overnight mail, enclose a **FEDERAL EXPRESS** air bill, complete with your delivery address (no PO Box numbers), telephone number, and your **FEDEX** account number or major credit card number with expiration date.
4. If transferring a Gulf charter/headboat vessel permit, a copy of a **valid** U.S. Coast Guard Operator of Uninspected Passenger Vessel License (commonly referred to as a 6-pack license) or a valid U.S. Coast Guard Masters License must be provided, along with any other requested information. A **valid** U.S. Coast Guard Certificate of Inspection must be provided if passenger capacity is greater than 6 passengers. A valid temporary Certificate of Inspection will be accepted.
4. Each vessel issued a South Atlantic Rock Shrimp permit (regardless of whether or not issued the limited access endorsement) or an Atlantic Dolphin/Wahoo (charter or commercial) permit, when at sea or offloading, is required to have on board at least one person who has a Federal operator permit card issued by the NMFS Southeast Regional Office or the NMFS Northeast Regional Office.
5. If your application was partially or completely filled in and contains inaccurate or outdated information, please draw a line through the incorrect information and write the correct information above.
6. Requests to renew or transfer permits will not be processed until all required reporting requirements (e.g. logbooks, the MARFIN telephone survey, etc) have been met. Noncompliance with any reporting requirement will preclude processing of all permit transactions requests. To avoid delays, ensure you comply with all reporting requirements in a timely manner and in advance of any permit application requests. Send your logbook report(s) to **National Marine Fisheries Service, Research Management Division, Logbook Program, P.O. Box 491500, Key Biscayne, FL 33149-9916**. Questions concerning your reporting requirements should be phoned to the Research Management Division at 305/361-4581. We cannot renew or transfer your permit(s) until all reporting requirements (e.g. logbook submission) are met.
7. An Income Qualification Affidavit is now accepted as proof of meeting permit income qualification requirements. A signed Income Qualification Affidavit will be required with every application to renew or transfer an income qualified permit. A blank Income Qualification Affidavit should be included with this application, or you may download another from the internet at <http://sero.nmfs.noaa.gov/sf/permits.htm>
8. Applications to transfer permits for which the Federal regulations restrict transfers to certain family members or to a vessel with characteristics may be required to submit documentation to verify their eligibility for such transfers.

In accordance with Federal regulations, any change in your permit information must be reported in writing to NMFS within 30 days of the change.

APPLICATION SECTION 1 Unless otherwise exempted by the application form, complete all portions of Section 1. Enter the Official Number and the length of the vessel as they appear on the U.S. Coast Guard Certificate of Documentation, or if not documented, the state registration certificate. If applying for a Highly Migratory Species commercial swordfish or shark permit issued without a vessel write "NO VESSEL" in the field for USCG Official Number.

APPLICATION SECTION 2

Indicate the fishery and transaction type for each permit requested in this application.

APPLICATION SECTION 3

Enter the information of the person shown as the "**owner/qualifier**" from the U.S. Coast Guard Certificate of Documentation or, if not documented, from the state registration certificate. Information on all owners and lessees is required. If additional space is needed, please photocopy the blank page as many times as is necessary to provide information on all vessel owners.

If owner/qualifier is an individual, provide the owner's date of birth and enter the Social Security Number (taxpayer ID information). If the person shown as the "**owner**" is a corporation or partnership, provide the date that the corporation was filed or the partnership was formed and the company's Federal Tax ID number (taxpayer ID information). If the application is for a corporate-owned vessel, submit the Articles of

Incorporation (and any amendments) along with the other requested information. If the Articles of Incorporation are already on file, submit a copy of the most current Annual Business Report. If corporations are in an INACTIVE status, permits will not be issued.

If the vessel is leased, also provide information on all vessel lessees. If lessee is an individual provide the owner's date of birth and enter the Social Security Number (taxpayer ID information). If the person shown as the "lessee" is a corporation or partnership, provide the date that the corporation was filed or the partnership was formed and the company's Federal Tax ID number (taxpayer ID information). If the application is for a corporate leased vessel, submit the Articles of Incorporation (and any amendments) along with the other requested information. If the Articles of Incorporation are already on file, submit a copy of the most current Annual Business Report. If corporations are in an INACTIVE status, permits will not be issued.

If the vessel is leased, provide a copy of the signed (both parties) and dated lease agreement (see page 2 of these instructions for lease details).

If any entities named as a vessel owner or for leased vessels named as vessel lessee are also an income qualifier for permits associated with this application, indicate the permits for which their income has been used for income qualification and submit a signed Income Qualification Affidavit.

APPLICATION SECTION 4

If the application is for a vessel that is owned by corporation, partnership, or other business entity then information on the owner's officers/shareholders is required. Information on all officers/shareholders associated with the vessel owners and/or lessees is required. If additional space is needed, please photocopy the blank page as many times as is necessary to provide information on all officers/shareholders associated with the vessel owners and lessees.

If any individuals named as an officer or shareholder of the vessel owner, or for leased vessels named as an officer or shareholder of the vessel lessee, are also an income qualifier for permits associated with this application, indicate the permits for which their income has been used for income qualification, and submit a signed Income Qualification Affidavit.

APPLICATION SECTION 5

Complete this section only for applications that include:

- Gulf of Mexico Charter/Headboat permits with a Historical Captain provision,
- Red Snapper Class 1 License Qualifier that has been leased from someone else, or
- King Mackerel, Spanish Mackerel, Reef Fish, and/or Commercial Spiny Lobster permit which has been income qualified using the fishing income of an operator who is neither a vessel owner or lessee as listed in Section 3 of this application, nor is the operator an officer of a business that owns or leases the vessel, as listed in Section 4 of this application.

For all other applications, this section should remain blank.

APPLICATION SECTION 6 Complete this section only if you fish with traps/pots in the reef fish fishery of the Gulf of Mexico or the snapper-grouper fishery off the southern Atlantic states. A **separate check or money order for trap/pot tags (\$1.30 per tag) payable to FLOY TAG INC. is required for use in the reef fish and snapper-grouper fisheries.** Tags will be mailed directly from Floy Tag Inc., and will not be sent with the permit package. New Floy Tags will not be available for fish traps in the Gulf of Mexico beginning in mid to late 2006. Fish Traps in the Gulf of Mexico will not be a legal method of catch beginning on February 8, 2007.

APPLICATION SECTION 7 The application must be signed by the vessel owner or for leased vessels by the vessel lessee. For corporate owned or leased vessels, an officer or shareholder of the vessel owner or vessel lessee must sign and date the application.

RENEWAL AND INITIAL (NEW) ISSUANCE OF PERMITS

- All permit renewal and first issuance requests must include, as a minimum: an application, the appropriate fees, and a front and back copy of the unexpired U.S. Coast Guard Certificate of Documentation or state registration certificate.
- Applications for permits that will be owned by a business must submit a copy of the active Articles of Incorporation (Articles of Organization for an LLC). A letter of Good Standing or Certificate of Incorporation is insufficient for our requirements. A current copy of your annual report (Uniform or Annual Business Report or State Franchise Tax public information section) must be included. The required report is the report you file (generally annually) with your State to maintain a record of your business address and active corporate officers. It may be filed as a part of your State business tax return or filed separately with the Secretary of State. Please do not send your annual financial report. Each state has a different name or requirement for this report. Please contact your state for details about your reporting requirements.
- If the vessel is leased/rented/leased to own, include a copy of the current lease agreement. Both the vessel owner(s) and the lessee(s) must sign and date the lease agreement. The lease must state the beginning and ending dates of the lease term.
- To ensure that your renewal goes as quickly and smoothly as possible, send your logbook report(s) to **National Marine Fisheries Service, Research Management Division, Logbook Program, P.O. Box 491500, Key Biscayne, FL 33149-9916**. Questions concerning your reporting requirements should be phoned to the Research Management Division at 305/361-4581. We cannot renew your permit(s) until all logbook reporting requirements are met.

TRANSFERS

- All permit transfer requests must include, as a minimum: an application, the original permits with appropriate signatures on the back, the appropriate fees, and a front and back copy of the unexpired U.S. Coast Guard Certificate of Documentation or state registration certificate.
- Applications for permits that will be owned by a business must submit a copy of the active Articles of Incorporation (Articles of

Organization for an LLC). A letter of Good Standing or Certificate of Incorporation is insufficient for our requirements. A current copy of your annual report (Uniform or Annual Business Report or State Franchise Tax public information section) must be included. The required report is the report you file (generally annually) with your State to maintain a record of your business address and active corporate officers. It may be filed as a part of your State business tax return or filed separately with the Secretary of State. Please do not send your annual financial report. Each state has a different name or requirement for this report. Please contact your state for details about your reporting requirements.

- **SIGNATURES ON PERMITS:** provide the **valid** (not expired) **ORIGINAL** transferable permit(s) with the signatures of both the buyer and seller on the reverse of the permit.
 - If the buyer and/or seller are a joint ownership, ALL persons that sell and /or buy the permit MUST sign the permit.
 - If transferring to a different vessel owned by the same entity (person or business), no signatures are required unless the permit(s) is a Gulf of Mexico Charter Permit(s).
 - Signatures are required to transfer Gulf of Mexico Charter Permit(s) to certify that you have removed the charter permit sticker from the old vessel.
 - Permits sold or bought by a business must be signed buy an officer or shareholder of the business. The correct format must include the signature, the person's position in the business and the company name, for example:
John Doe, President, ABC Fishing Inc.
- Applications for the transfer of Gulf of Mexico Charter/Headboat permits must provide a copy of the unexpired USCG Operator of Uninspected Passenger Vessel License or Masters License. If larger than a 6-pack, you must also provide a copy of the vessel's unexpired Certificate of Inspection (COI).
- Gulf of Mexico charter/headboat permits (including Historical Captain permits) may not be transferred to a vessel that has a larger U.S. Coast Guard passenger capacity rating than the permit's baseline passenger rating. For example, a 10 passenger permit may not be transferred to a vessel with a COI for 12 passengers. Permits with a 6 passenger rating (6 pack) may only be transferred to an uninspected vessel (another "6 pack" vessel).
- Upon transfer of a Gulf of Mexico moratorium Coastal Migratory Pelagic charter/headboat permit and/or Gulf of Mexico moratorium Reef Fish charter/headboat permit, the Federal charter/headboat **decal must be removed** from your vessel.
- New owners of Limited Access/Moratorium permits must meet the earned income requirement not later than the first full calendar year after the issuance of the permit.
- Transfer of Atlantic SHARK or SWORDFISH permits for swordfish handgear or swordfish and shark directed permits are subject to size increase limitations. The vessel the permit(s) are assigned to cannot exceed a 10% increase in baseline length, gross or net tonnage and 20% increase in baseline horsepower from the vessel the permit was first issued to. Swordfish handgear permits are transferable, but only for use with handgear.
- If the vessel is leased/rented/leased to own, include a copy of the current lease agreement. Both the vessel owner(s) and the lessee(s) must sign and date the lease agreement. The lease must state the beginning and ending dates of the lease term. **Expired permits may not be transferred.**
- The following permits are NOT transferable:

<u>Charter Permits</u> South Atlantic Charter for Coastal Migratory Pelagic Fish South Atlantic Charter for Snapper-Grouper Atlantic Dolphin/Wahoo - Charter	<u>Commercial Permits</u> Spanish Mackerel Atlantic Dolphin/Wahoo - Commercial Spiny Lobster Spiny Lobster Tailing South Atlantic Rock Shrimp
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DUPLICATE OR REPLACEMENT PERMITS

To request replacement of lost permits the USCG Official Number and/or the State Registration Number fields of Section 1 must be completed. Section 2 must be completed to indicate for which permit(s) duplicates are requested. The application must be signed in Section 7 by a permit owner. A check or money order for \$18 payable to the U.S. Treasury must accompany the application.

FEES

Fees for permit renewal, Initial issuance and transfers are \$25 for the first permit, \$10 for each additional permit.

1 = \$ 25	2 = \$35	3 = \$45	4 = \$ 55	5 = \$ 65	6 = \$ 75	7 = \$ 85
8 = \$ 95	9 = \$105	10 = \$115	11 = \$125	12 = \$135	13 = \$145	14 = \$155
15 = \$165	16 = \$175	17 = \$185	18 = \$195	19 = \$205	20 = \$215	21 = \$225

A flat rate fee of \$18 is assessed for replacement of one or more permits issued to a single vessel.

EARNED INCOME OR GROSS SALES REQUIREMENTS FOR COMMERCIAL PERMITS

	KING & SPANISH MACKEREL	REEF FISH (GULF OF MEXICO)	SPINY LOBSTER
Percentage of earned income	At least 25%	More than 50%	At least 10%
Gross sales alternative	More than \$10,000 in sales of fish	N/A	N/A
Source of earned income	Commercial, charter, or headboat	Commercial, charter, or headboat	Sale of catch
Time frame for qualification	One of three years prior to application	Either of two years prior to application	Year prior to application

The following fisheries do not require any earned income:

COMMERCIAL

Atlantic Dolphin/Wahoo - Commercial
Shark
Swordfish
South Atlantic Rock Shrimp
South Atlantic Rock Shrimp Limited Entry Area Endorsement
Spiny Lobster Tailing
South Atlantic Unlimited Snapper-Grouper excluding Wreckfish
South Atlantic 225 lb. Trip Limit Snapper-Grouper excluding Wreckfish
Red Snapper Class 1 or Class 2
Gulf of Mexico Shrimp

CHARTER/HEADBOAT

Atlantic Dolphin/Wahoo - Charter
Gulf of Mexico Coastal Migratory Pelagic Fish Charter/Headboat
South Atlantic Coastal Migratory Pelagic Fish Charter/Headboat
South Atlantic Charter for Snapper-Grouper
Gulf of Mexico Reef Fish Charter/Headboat

An Income Qualification Affidavit is now accepted as proof of meeting permit income qualification requirements. A signed Income Qualification Affidavit will be required with every application to renew or transfer an income qualified permit.

KNOWINGLY SUPPLYING FALSE INFORMATION OR WILLFULLY OVERVALUING ANY FISHING INCOME FOR THE PURPOSE OF OBTAINING A PERMIT IS A VIOLATION OF FEDERAL LAW PUNISHABLE BY A FINE AND/OR IMPRISONMENT.

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to Jason Rueter, National Marine Fisheries Service, F/SER22, 263 13th Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Non-confidential information may be released via a NOAA Fisheries website. Non confidential information means: Name, Street Address, City, State, Zip code, Effective Date of Permit, Permit Types, Vessel Name, Vessel Identification Number, and Passenger Capacity in the case of a "for hire" vessel for individual, corporate and lease holders of permits. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

ACTIVITIES FOR WHICH PERMITS ARE REQUIRED

ATLANTIC DOLPHIN-WAHOO CHARTER VESSEL/HEADBOAT- For a person aboard a vessel that is operating as a charter vessel/headboat to fish for or possess a dolphin-wahoo in or from Federal waters in the Atlantic, an Atlantic dolphin-wahoo charter vessel/headboat permit must be issued to that vessel. There are no earned income or landing requirements for this permit. An owner of a charter vessel/headboat who desires to sell dolphin is required to obtain a dolphin-wahoo commercial vessel permit (see below) in addition to the charter vessel/headboat permit. (The sale of wahoo harvested in the Atlantic EEZ by a vessel operating as a charter vessel/headboat is not allowed.) This permit is for the vessel it is assigned to. The permit will only be valid if there is someone on the vessel that has a valid Vessel Operator Permit Card issued by the Southeast Regional Office of NMFS or by the Northeast Regional Office of NMFS.

ATLANTIC DOLPHIN-WAHOO COMMERCIAL VESSEL- A vessel must be issued an Atlantic dolphin-wahoo commercial permit to be eligible for exemption from the bag and possession limits for dolphin-wahoo in the Atlantic EEZ or to sell dolphin-wahoo harvested in the Atlantic EEZ. This permit is for the vessel it is assigned to. The permit will only be valid if there is someone on the vessel that has a valid Vessel Operator Permit Card issued by the Southeast Regional Office of NMFS or by the Northeast Regional Office of NMFS.

GULF COASTAL MIGRATORY PELAGIC CHARTER - For a person aboard a vessel that is operating as a charter vessel or headboat to fish for or possess a coastal migratory pelagic fish in or from Federal waters in the Gulf of Mexico, a moratorium charter permit must be issued to the vessel and be on board. Permits for Gulf coastal migratory pelagic charter are under a moratorium. No new vessel may be permitted except by transfer of a valid (not expired) permit. To transfer a Gulf of Mexico Charter/Headboat for Coastal Migratory Pelagic fish permit, the original valid permit must be returned with an application and applicable documents. **Permits must be renewed within one year of the expiration date.**

GULF REEF FISH CHARTER - For a person aboard a vessel that is operating as a charter vessel or headboat to fish for or possess a reef fish in or from Federal waters of the Gulf of Mexico, a moratorium charter permit must be issued to the vessel and be on board. Permits for Gulf of Mexico reef fish charter are under a moratorium. No new vessel may be permitted except by transfer of a valid (not expired) permit. To transfer a Gulf of Mexico Charter/ Headboat for Reef Fish permit, the original valid (not expired) permit must be returned with an application and

applicable documents. **Permits must be renewed within one year of the expiration date.**

SOUTH ATLANTIC COASTAL MIGRATORY PELAGIC CHARTER OR SNAPPER-GROUPER CHARTER - For a person aboard a vessel that is operating as a charter vessel or headboat to fish for or possess a coastal migratory pelagic or snapper-grouper in or from Federal waters off the southern Atlantic states, a charter permit must be issued to the vessel and be on board.

SPANISH MACKEREL - For a person aboard a vessel in Federal waters to be eligible for exemption from the bag limits and to fish under a commercial allocation for Spanish mackerel, a commercial permit must be issued to the vessel and be on board. At time of application income criteria must be submitted. *If applying for a Spanish mackerel permit, earned income or gross sales from one of three years prior to application must be submitted at time of application. See Earned Income or Gross Sales Requirements for Commercial Permits on page 4..*

SPINY LOBSTER - For a person aboard a vessel in Federal waters off of Texas, Louisiana, Mississippi, or Alabama to be exempt from the daily catch and possession limit, a commercial spiny lobster permit must be issued to the vessel and be on board. Florida's requirements apply to a vessel fishing in Federal waters off Florida. The daily bag or possession limit for spiny lobster in or from the EEZ of the southern Atlantic states other than Florida (North Carolina, South Carolina, and Georgia), is two per person for recreational and commercial fishing, year round. To possess a separated spiny lobster tail in or from Federal waters, a tail-separation permit must be issued to the vessel and be on board. Tail separation permits may only be obtained for vessels that, due to the nature of the fishing, are required to remain at sea for 48 hours or longer. *If applying for a commercial spiny lobster permit, income from the previous calendar year must be submitted at time of application.*

KING MACKEREL - For a person aboard a vessel in Federal waters to be eligible for exemption from the bag limits and to fish under a commercial allocation for king mackerel, a commercial permit must be issued to the vessel and be on board. Permits for king mackerel are under a limited entry program. No new vessel may be permitted except by transfer of a valid (not expired) permit. To transfer a King Mackerel permit, the original valid (not expired) permit must be signed as appropriate, and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date.**

GILLNET FOR KING MACKEREL - For a person aboard a vessel in Federal waters to use a run-around gillnet for king mackerel in the southern Florida west coast subzone, a commercial gillnet permit for king mackerel must be issued to the vessel and be on board. Gillnet permits for king mackerel are under a limited entry program. No new vessel may be permitted except by transfer of a valid (not expired) permit. Gillnet permits for King Mackerel may only be transferred to an immediate family member (mother, father, husband, wife, son, daughter, brother, sister). To transfer a gillnet for King Mackerel permit, the original valid (not expired) permit must be signed as appropriate, and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date.**

GULF OF MEXICO REEF FISH - As a prerequisite to sell reef fish in or from Federal waters and to be eligible for exemption from the bag limits, a commercial permit must be issued to the vessel and be on board. Permits for the commercial reef fish fishery are under a limited entry program. No new vessels may be permitted except by transfer of a valid (not expired) permit. To transfer a Gulf of Mexico Reef fish permit, the original valid (not expired) permit must be signed as appropriate, and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date.**

SOUTH ATLANTIC LIMITED ACCESS ROCK SHRIMP ENDORSEMENT - For a person aboard a fishing vessel to fish for rock shrimp in Federal waters from the Virginia/North Carolina border to the East Coast of Florida, or possess rock shrimp in or from Federal waters, a vessel permit for rock shrimp must be issued to the vessel and be on board. However, to participate in that fishery off Georgia and the east coast of Florida, a limited-access endorsement for South Atlantic rock shrimp is required. To transfer a limited access endorsement for South Atlantic rock shrimp, the original valid (not expired) endorsement must be signed as appropriate, and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date.**

SOUTH ATLANTIC SNAPPER-GROUPER EXCLUDING WRECKFISH PERMITS - For a person aboard a vessel in Federal waters to be eligible for exemption from the bag limits, to engage in a directed fishery for tilefish or to use a sea bass pot, a commercial permit must be issued to the vessel and be on board. Permits for the South Atlantic snapper-grouper fishery are under a limited entry program. No new vessels may be permitted except by transfer of a valid (not expired) permit. A person desiring to acquire a permit for South Atlantic unlimited snapper-grouper (excluding wreckfish) must obtain and exchange two such permits for one new permit. South Atlantic snapper-grouper (excluding wreckfish) 225 trip limit permits are only transferable to a vessel owned or leased by the same permit owner; ownership of the permit may not be transferred. The original valid (not expired) permits must be returned with the application and applicable documents. **Permits must be renewed within 60 days of the expiration date.**

SHARK - As a prerequisite to sell a shark in or from Federal waters and to be eligible for exemption from the bag limits, a commercial permit must be issued to the vessel and be on board. Permits for the shark fishery are under a limited entry program. No new vessels may be permitted except by transfer of a valid (not expired) limited entry permit. To transfer a shark permit, the original valid permit must be signed as appropriate, and returned with the application and applicable documents. **Permits must be renewed within one year of the expiration date.**

SWORDFISH - To fish for or possess swordfish in or from the North Atlantic Ocean, or take swordfish as bycatch, whether or not retained, a commercial permit must be issued to the vessel and be on board. Permits for the swordfish fishery are under a limited entry program. No new vessels may be permitted except by transfer of a valid (not expired) limited entry permit. To transfer a swordfish permit, the original valid (not expired) permit must be signed as appropriate, and returned with the application and all applicable documents. **Permits must be renewed within one year of the expiration date.**

SOUTH ATLANTIC SHRIMP - For a person aboard a trawler to fish for penaeid shrimp (brown, pink, or white shrimp) in the South Atlantic EEZ or possess penaeid shrimp in or from the South Atlantic EEZ, a valid (not expired) commercial vessel permit for South Atlantic penaeid shrimp must have been issued to the vessel and must be on board.

GULF SHRIMP - For a person aboard a vessel to fish for shrimp in the Gulf EEZ or possess shrimp in or from the Gulf EEZ, a valid commercial vessel permit for Gulf shrimp must have been issued to the vessel and must be on board.